IAP3 Rec'd PGT/PTO 29 DEC 2005

PTO-1390 (Rev. 07-2905)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** ATTORNEY'S DOCKET NUMBER 038724.56337US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

				10/539,773			
INTERNATIONAL APPLICATION NO. PCT/EP03/13478			INTERNATIONAL FILING DATE 11/29/2003	PRIORITY DATE CLAIMED 12/20/2002			
TITLE	OF IN	VENTION					
	10 11 17		D FOR ARC WELDING OF DUCTILE CAS	T IRON			
APPL	.ICAN1(S) FOR DO/EO/US	Stefan HELGEE, et al.				
Appl	icant he	erewith submits to the United States De	signated/Elected Office (DO/EO/US) the follow	ing items and other information:			
1.		This is a FIRST submission of items co	oncerning a submission under 35 U.S.C. 371.				
2.	\boxtimes	This is a SECOND or SUBSEQUENT	submission of items concerning a submission	under 35 U.S.C. 371.			
3.		This is an express request to begin nat and (21) indicated below.	tional examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9),			
4.	\boxtimes	The US has been elected (Article 31).		<i>:</i>			
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		a. is attached hereto (require	d only if not communicated by the Internationa	Bureau).			
		b. has been communicated b	y the International Bureau.				
		c.	lication was filed in the United States Receiving	g Office (RO/US).			
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).					
		a. is attached hereto.					
		b. has been previously subm	itted under 35 U.S.C. 154(d)(4).				
7.		Amendments to the claims of the Inter-	national Application under PCT Article 19 (35 l	J.S.C. 371(c)(3))			
		a. are attached hereto (require	red only if not communicated by the Internation	al Bureau).			
		b. have been communicated	by the International Bureau.				
		c. have not been made; how	ever, the time limit for making such amendmen	ts has NOT expired.			
		d. have not been made and v	vill not be made.				
8.		An English language translation of the	amendments to the claims under PCT Article	19 (35 U.S.C. 371(c)(3)).			
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
	Items	s 11 to 20 below concern document(s) or Information included:				
11.		An Information Disclosure Statement L	under 37 CFR 1.97 and 1.98.				
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
13.		A preliminary amendment.					
14.		An Application Data Sheet under 37 CFR 1.76.					
15.		A substitute specification.					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).					
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).					
20.	\boxtimes	Other items or information: Copy of the	e Notification of Missing Requirements.				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

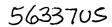
PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET N			
10/539,773.						038724.56337US			
The following fees have been submitted 21. Basic national fee (37 C.F.R. §1.492(a))						CALCULATIONS	PTO USE ONLY		
21. Basi	ic national fee (\$							
22. Exa	22. Examination fee (37 C.F.R. §1.492(c))								
If the written opin	ion prepared by	ISA/US or the inte	rnational preliminary						
examination	report prepare	by IPEA/US indic	ates all claims satisfy						
provisions o	of PCT Article 33	3(1)-(4)		• • • • • • • • • • • • • • • • • • • •	\$0.00	\$	i		
All other situation	ıs				\$200.00	D			
	rch fee (37 C.F.	-							
If the written opin	ion of the ISA/L	IS or the Internation	nal preliminary examinat	tion					
report prepa	report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
Search fee (37 C	FR 1.445(a)(2))	has been paid on	the international applica	ation to					
the USPTO	as an Internation	nal Searching Auth	nority		\$100				
International Sea	rch Report prep	ared by an ISA oth	er than the US and prov	vided to	4.00				
the Office of	r previously con	nmunicated to the t	JS by the IB	•••••	\$400	\$			
All other situation		AL OF 21, 22 AND	22 -		\$500	\$			
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Additional fe	ee for specificat	ion and drawings fi	led in paper over 100 sh	neets (ex	cluding				
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Independent cla	aims	- 3			x 200	\$			
<u>`</u>		Λ(S) (if applicable)			+ 360	\$			
WOLTIFEL BETT	LINDLINI OBAII	n(G) (II applicable)	TOTAL OF ABO	OVE CA		\$			
						Ψ			
Applicant cla	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.								
					SUBTOTAL =	\$			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the									
earliest claimed priority date (37 CFR 1.492(i))+ TOTAL NATIONAL FEE = \$									
						Ψ			
Fee for recording	the enclosed a	issignment (37 CF)	R 1.21 (h)). The assignm R 3.28, 3.31). \$40.00 p	nent mu Ser prope	stoe ertv+	s			
accompanied by	an appropriate	cover sheet (or or			S ENCLOSED =	\$			
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						refunded:	\$		
		Amount to be							
						charged:	\$		
a. A chec	ck in the amoun	t of \$ to cover th	e above fees is enclose	ed.					
			5-1323 (Attorney Dock	ket No.	038724.56337US) in the amount of \$ to	cover the above fees.		
A dup	licate copy of	this sheet is enclo	sed.						
c. 🛛 The C	ommissioner is	hereby authorized	to charge any additional	l fees w	hich may be requi	red, or credit any overpa	yment to Deposit		
Accou	nt No. 05-1323	(Attorney Docket	No. 038724.56337US).	A dup	icate copy of thi	s sheet is enclosed.			
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d. Fees	are to be charge	d to a credit card.	WARNING: Information	n on this	s form may becom	e public. Credit card in	tormation should not		
be inc	be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Crowell & Moring, LLP				meso, minor					
				NATURE					
P.O. Box 14300 Mark H. Neblet						_			
Washington, D.C. 20044-4300 NAME 42,028									
	o. (202) 624-25				GISTRATION NU	MBER			
Fax N	o. (202) 628-88	44			ember 29, 2005				
	DATE								
EODM PTO-1390 (PE	1/ 03 3006)		Page	2 of 2					











JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/539,773

Stefan Helgee

038724.56337US

INTERNATIONAL APPLICATION NO.

PCT/EP03/13478

I.A. FILING DATE

PRIORITY DATE

11/29/2003

23911 **CROWELL & MORING LLP** INTELLECTUAL PROPERTY GROUP P.O. BOX 14300 WASHINGTON, DC 20044-4300

CONFIRMATION NO. 4951 371 FORMALITIES LETTER

*OC00000017448477

Date Mailed: 11/14/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- Copy of the International Search Report filed on 06/20/2005
- Copy of IPE Report filed on 06/20/2005
- Copy of Annexes to the IPER filed on 06/20/2005
- Preliminary Amendments filed on 06/20/2005
- Information Disclosure Statements filed on 06/20/2005
- Request for Immediate Examination filed on 06/20/2005
- U.S. Basic National Fees filed on 06/20/2005
- Substitute Specification filed on 06/20/2005
- Priority Documents filed on 06/20/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

Additionally the following defects have been observed:

Annexes have not been entered because No English Translation.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.





The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/539.773	PCT/EP03/13478	038724.56337US

FORM PCT/DO/EO/905 (371 Formalities Notice)